

# Nuclear Matter Incompressibility and Giant Monopole Resonances

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# Iron core in core-collapse supernovae

The iron core grows and becomes dynamically unstable as it approaches the critical mass. The main reactions are



↓  
Pressure decreases and the core collapses

The Equation of State (EoS) has to cover densities of  $10^9 - 10^{15} \text{ g/cm}^3$

Nuclei are bound into nuclei until they merge ( $\rho_0 \sim 10^{14} \text{ g/cm}^3$ )

Pressure is essentially dominated by  $e^-$  ( $\gamma = \partial \ln P / \partial \ln \rho = 4/3$ )

For  $\rho > \rho_0$ , nuclear matter becomes very hard ( $\gamma \sim 2$ )

## Below nuclear density:

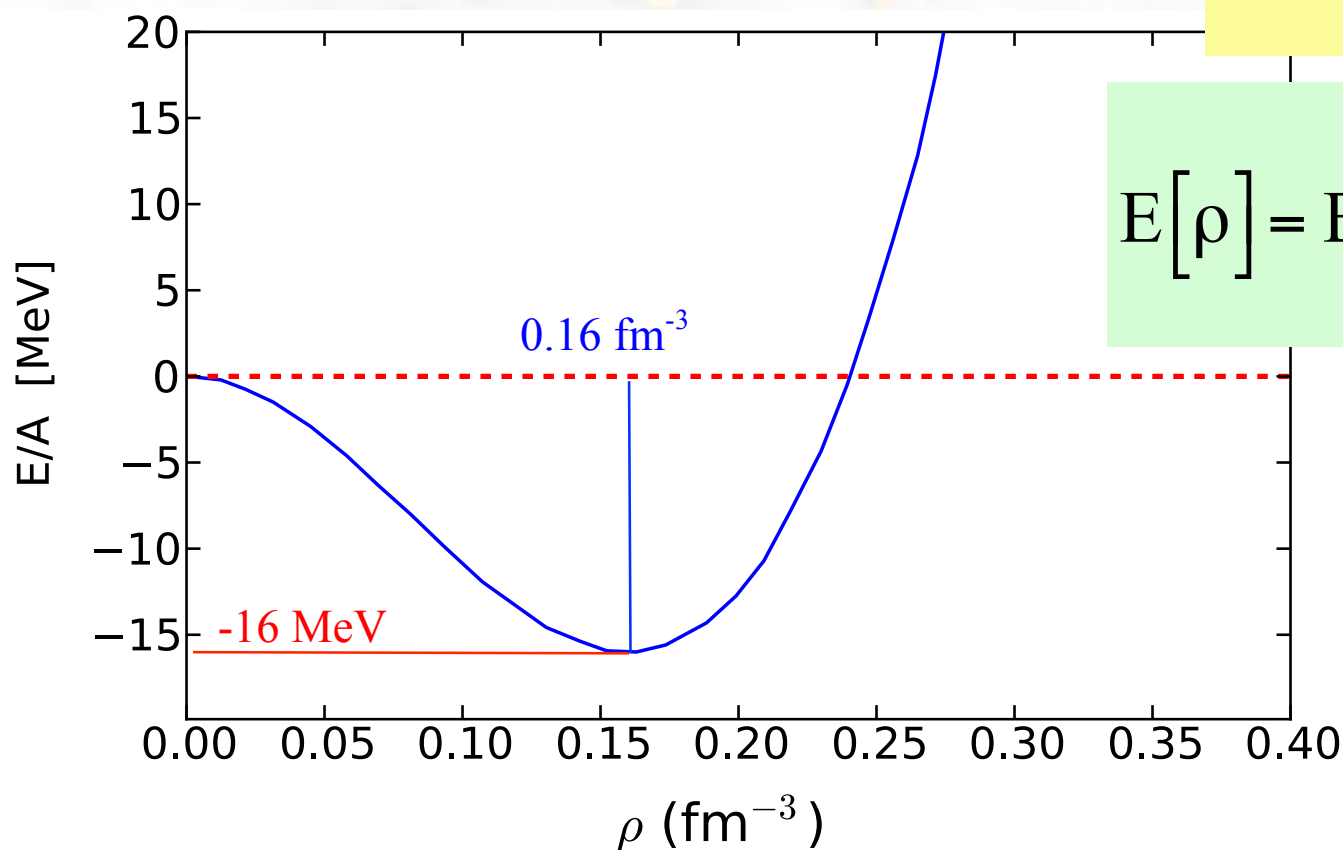
- Matter is described by nuclei surrounded by a "gas" of nucleons and alpha particles
- Electrons are uniformly distributed in space, inside and outside nuclei.
- In equilibrium  $\mu_e = \mu_n - \mu_p$
- At high densities nuclear matter fills the space uniformly
- Techniques for computing EOS: **liquid drop**, **Thomas-Fermi**, **Hartree-Fock**
- EOS is obtained by minimizing the free energy of the system for each entropy and  $Y_e$

## Above nuclear density:

- Maximum density occurs when the inner central core reaches  $\rho \sim 5\rho_0$
- the compressibility has to be enough to allow the existence of neutron stars with  $M \sim 1.4 M_\odot$  or larger.

$$K_\infty = 9\rho^2 \left. \frac{d^2 [E(\rho) / \rho]}{d\rho^2} \right|_{\rho_0}$$

$$E[\rho] = E[\rho_0] + \frac{1}{18} K_\infty \left( \frac{\rho - \rho_0}{\rho_0} \right)^2$$



# EOS - Experimental Observations

- Analysis of Giant Isoscalar Resonances
- Relativistic heavy ion collisions
- Neutron masses

**Giant Resonance:** Coherent vibration of nucleons in a nucleus

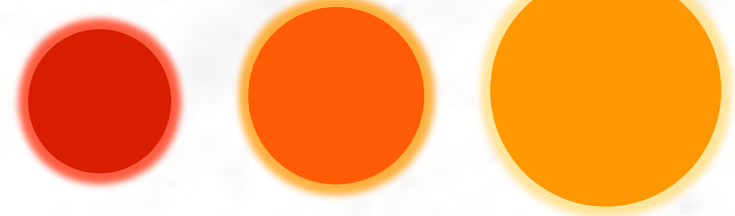
- Resonances related to incompressibility:  
**ISGMR**, ISGDR, ISGQR

$$E_{\text{ISGMR}} \approx \sqrt{\frac{K_A}{m \langle r^2 \rangle}}$$

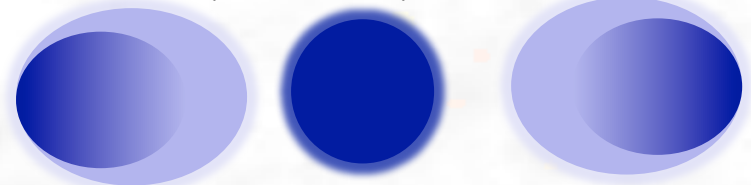
$$c \approx 1$$

$$K_A = K_\infty \left(1 + cA^{-1/3}\right) + K_\tau \left(\frac{N-Z}{A}\right)^2 + K_{\text{Coul}} Z^2 A^{-4/3}$$

ISGMR (T=0, L=0)



ISGDR (T=0, L=1)



ISGQR (T=0, L=2)



- $K_{\text{Coul}}$  is basically model independent
- Measurements over several isotopes should give  $K_\tau$
- $K_\tau$  critical to understand neutron stars

# EOS - Theoretical Methods

- Build an energy functional  $E[\rho]$  using an mean field calculation

Each such a functional characterizes a  $K_\infty$

- Get excitations such as the ISGMR from a self-consistent QRPA calculation

For the nucleon-nucleon interaction

$$V(\mathbf{r}_i, \mathbf{r}_j) = V_{ij}^{\text{NN}} + V_{ij}^{\text{Coul}}$$

$$V_{ij}^{\text{Coul}} = -\frac{e^2}{4} \sum_{i,j=1}^A \frac{\tau_{ij}^2 + \tau_{ij}}{|\mathbf{r}_i - \mathbf{r}_j|}$$

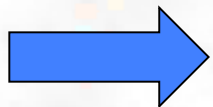
$$\tau_{ij} = \tau_i + \tau_j$$

$$V_{ij}^{\text{NN}} = t_0(1 + x_0 P_{ij}^\sigma) \delta(\mathbf{r}_i - \mathbf{r}_j) + \frac{1}{2} t_1(1 + x_1 P_{ij}^\sigma) [\hat{\mathbf{k}}_{ij}^2 \delta(\mathbf{r}_i - \mathbf{r}_j) + \delta(\mathbf{r}_i - \mathbf{r}_j) \vec{\mathbf{k}}_{ij}^2] +$$

$$t_2(1 + x_2 P_{ij}^\sigma) \hat{\mathbf{k}}_{ij} \delta(\mathbf{r}_i - \mathbf{r}_j) \vec{\mathbf{k}}_{ij} + \frac{1}{6} t_3(1 + x_3 P_{ij}^\sigma) \rho^\alpha \left( \frac{\mathbf{r}_i + \mathbf{r}_j}{2} \right) \delta(\mathbf{r}_i - \mathbf{r}_j) +$$

$$iW_0 \hat{\mathbf{k}}_{ij} \delta(\mathbf{r}_i - \mathbf{r}_j) (\vec{\sigma}_i + \vec{\sigma}_j) \vec{\mathbf{k}}_{ij},$$

$t_i, x_i, \alpha, W_0$  are 10 **Skyrme** parameters



$$\mathcal{E}[\rho] = \langle \Phi | T + V_{ij}^{\text{Coul}} + V_{ij}^{\text{NN}} | \Phi \rangle$$

## + pairing

### HF + BCS

$$\Delta_i = \frac{1}{2} \sum_j \frac{G_{ij} \Delta_j}{\sqrt{(\varepsilon_j - \lambda)^2 + \Delta_j^2}}$$

### HFB

$$\begin{pmatrix} h_{HF} - \lambda & \Delta \\ -\Delta & -h_{HF} + \lambda \end{pmatrix} \begin{pmatrix} u_k \\ v_k \end{pmatrix} = E_k \begin{pmatrix} u_k \\ v_k \end{pmatrix}$$

$\mathbf{v}_{NN}^{\text{eff}} = \text{Skyrme} + \text{pairing force}$

$$V = V_0 \left[ 1 - \eta \left( \frac{\rho(\mathbf{r})}{\rho_0} \right)^\alpha \right] \delta(\mathbf{r}_1 - \mathbf{r}_2), \quad \rho_0 = 0.16 \text{ fm}, \quad \alpha = 1$$

$$\eta = \begin{cases} 0, & \text{"volume" pairing} \\ 1, & \text{"surface" pairing} \\ 1/2, & \text{"mixed" pairing} \end{cases}$$

# EOS + Pairing

Protons and neutrons tend to pair up, much like Cooper pairs of electrons in superconductors. Pairing is important in nuclei and neutron stars, but a clear understanding of **the microscopic foundation of the pairing functional is still lacking.**

$$v(\mathbf{r}, \mathbf{r}') = v_0 \left[ 1 - \eta \left( \frac{\rho}{\rho_0} \right)^\gamma \right] \delta(\mathbf{r} - \mathbf{r}')$$

Skyrme

$K_\infty$

SLy5

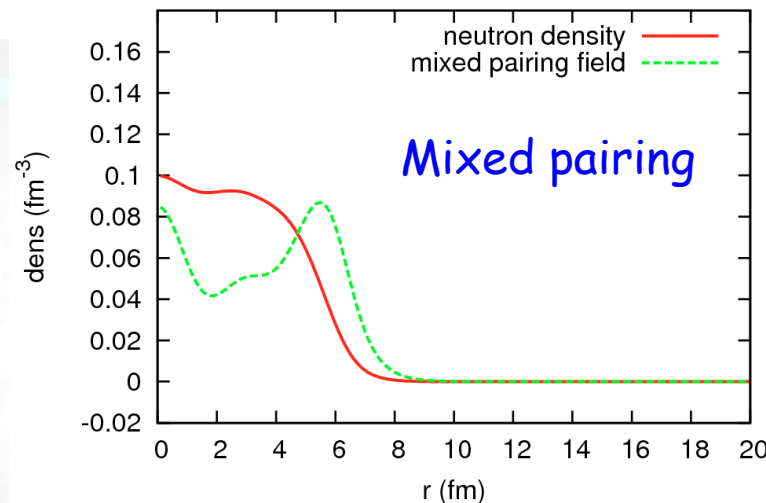
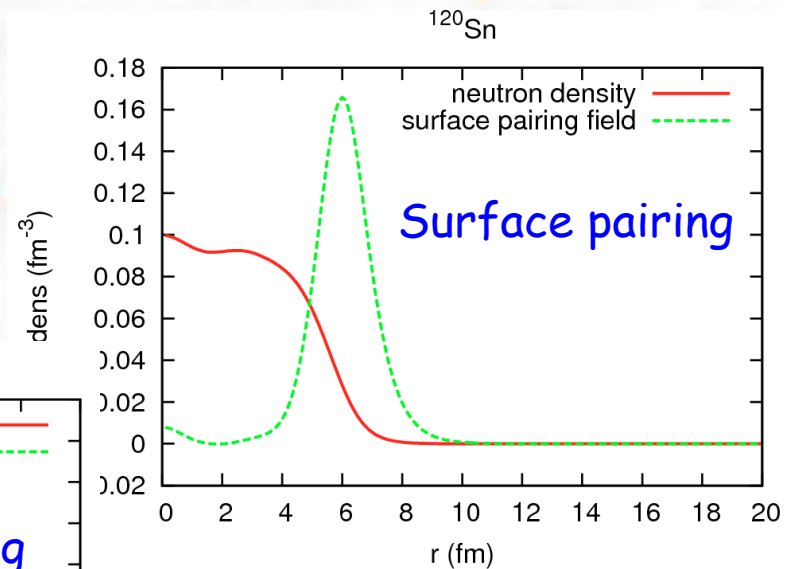
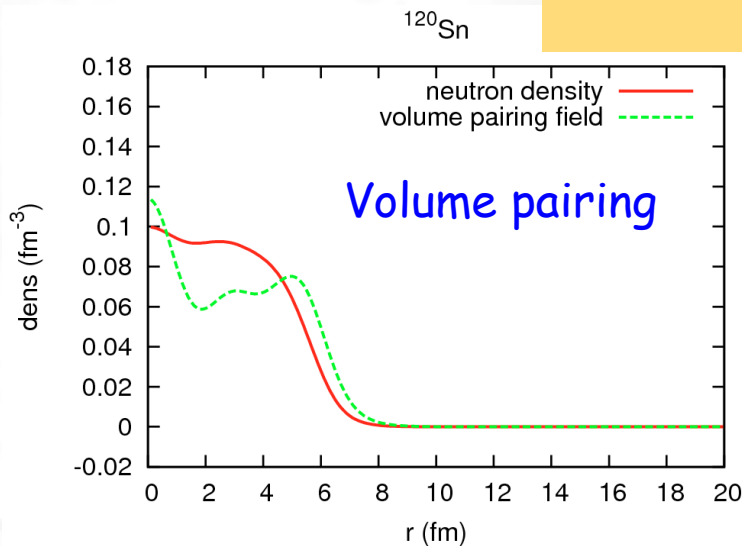
230

SkM\*

216

Skxs20

202



Blaizot  
Phys. Rep. 64, 171 (1980)

Shlomo, Youngblood  
PRC 47, 529 (1993)



# Pairing Measure

three-point

$$\Delta^{(3)} = \frac{1}{2}(-1)^N [B(N-1) + B(N+1) - 2B(N)]$$

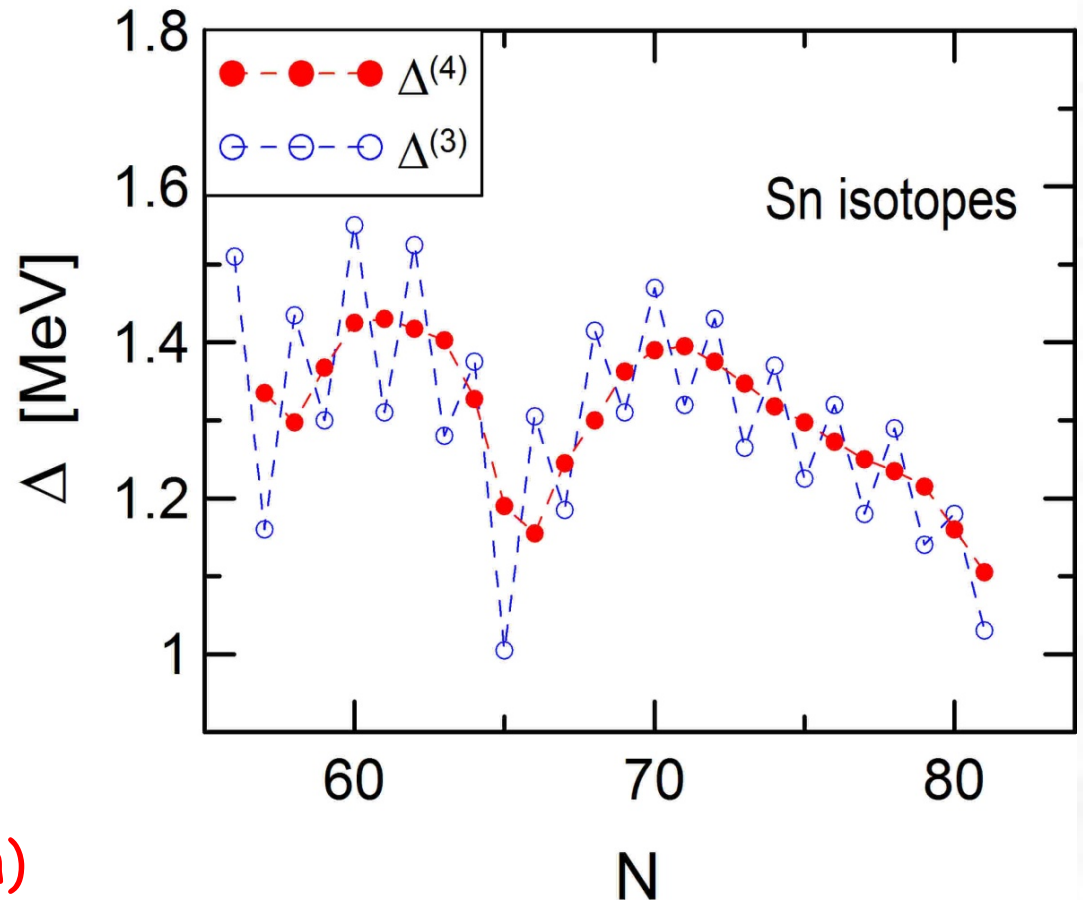
four-point

$$\Delta^{(4)} = \frac{1}{4}(-1)^N [3B(N-1) - 3B(N) - B(N-2) + B(N+1)]$$

or higher ?

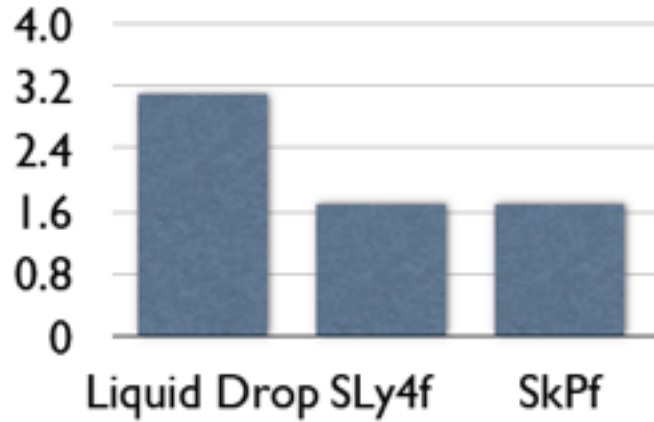
## From experiment:

- $\Delta^{(3)}$  larger for  $(-1)^N = +1$
- $\Delta^{(3)}$  smaller for  $(-1)^N = -1$
- $\Delta^{(4)}$  reflects average of  $\Delta^{(3)}(N)$  and  $\Delta^{(3)}(N-1)$   
( $\Delta^{(4)}$  no additional information)

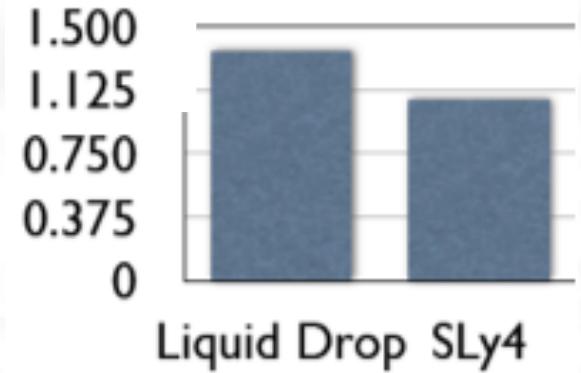


# Can Microscopic Models do better than LDM?

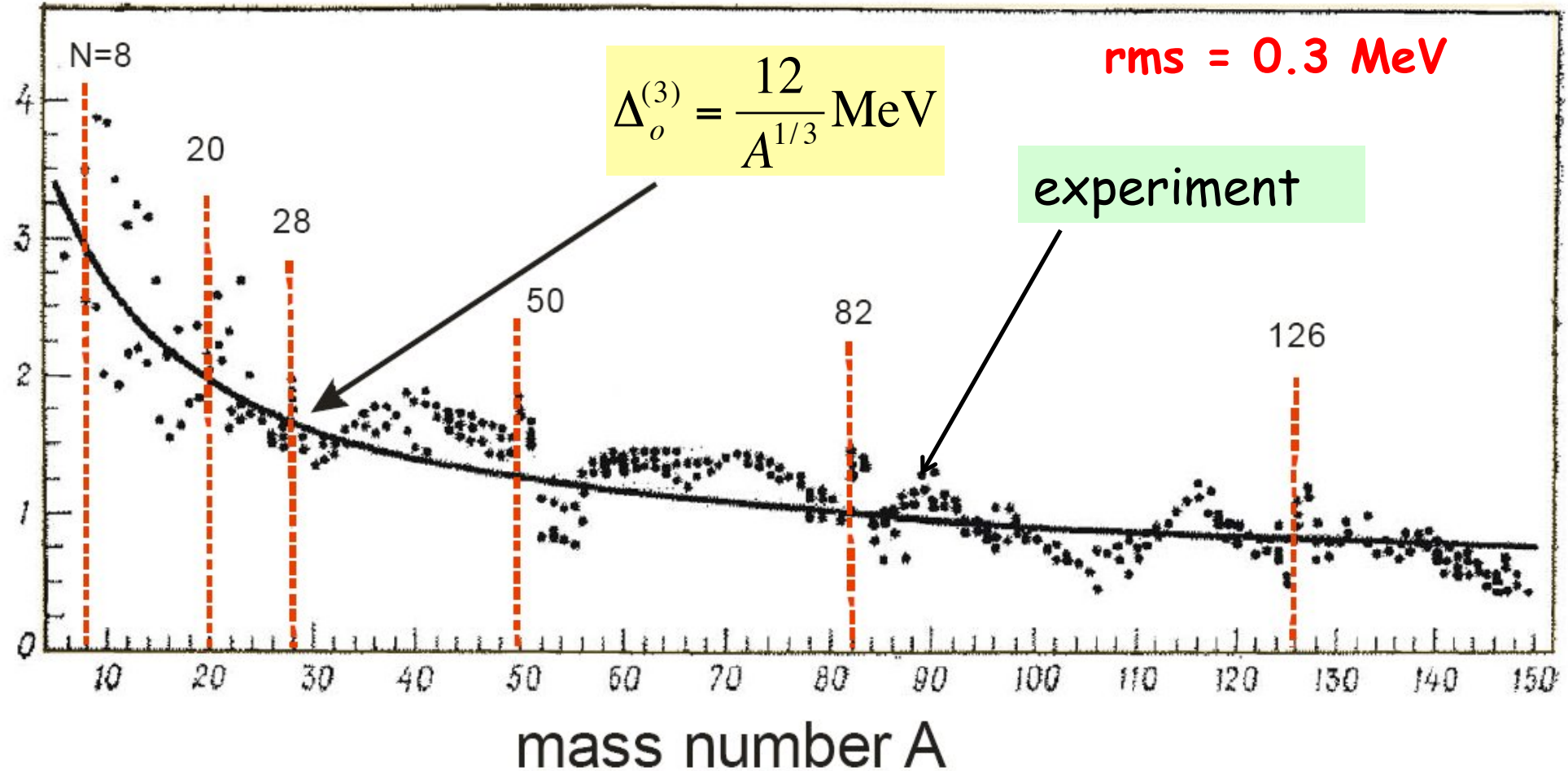
rms for  
binding  
energies



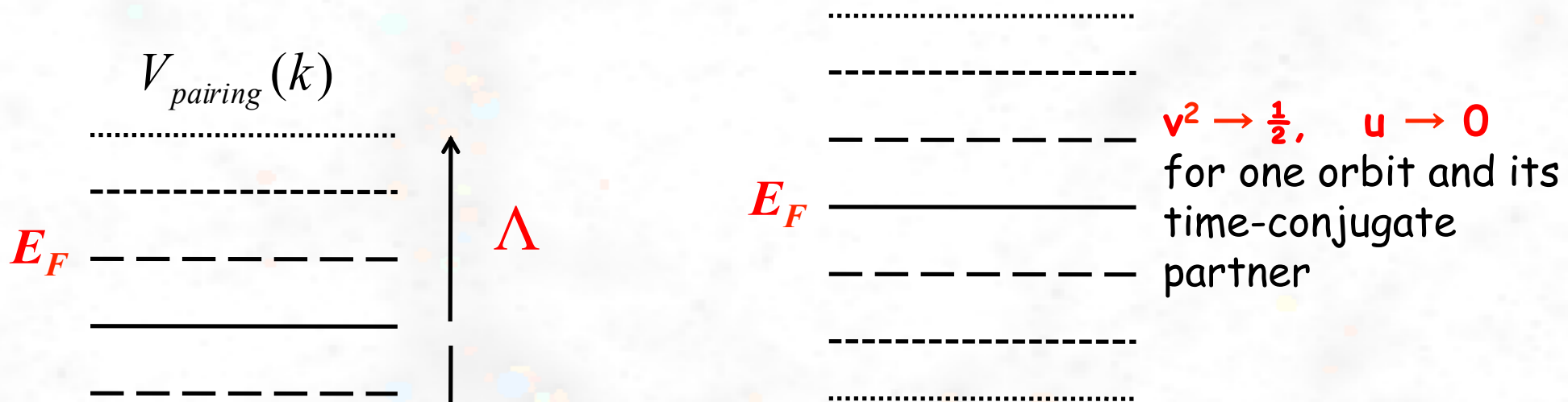
rms for  
separation  
energies



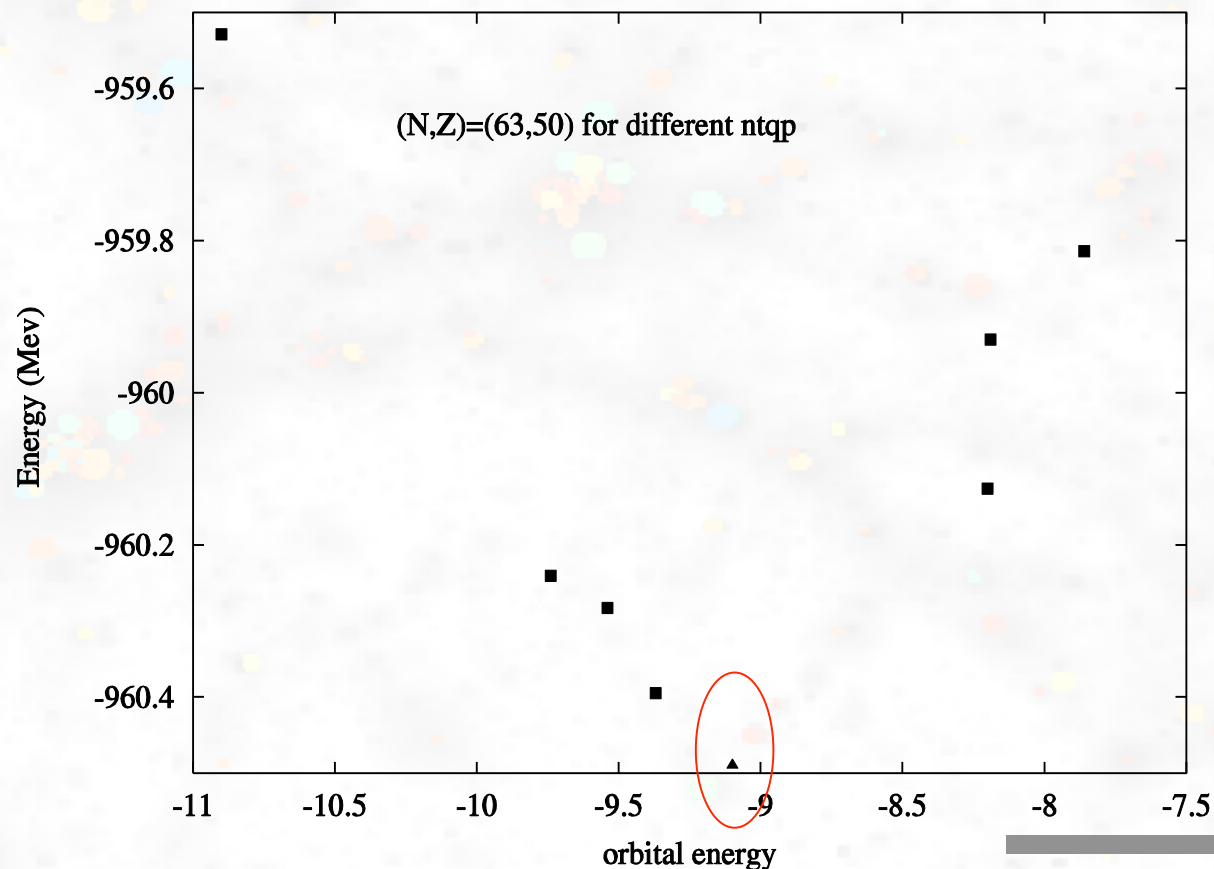
neutron pairing gap



# Blocking Procedure for Odd Nucleons

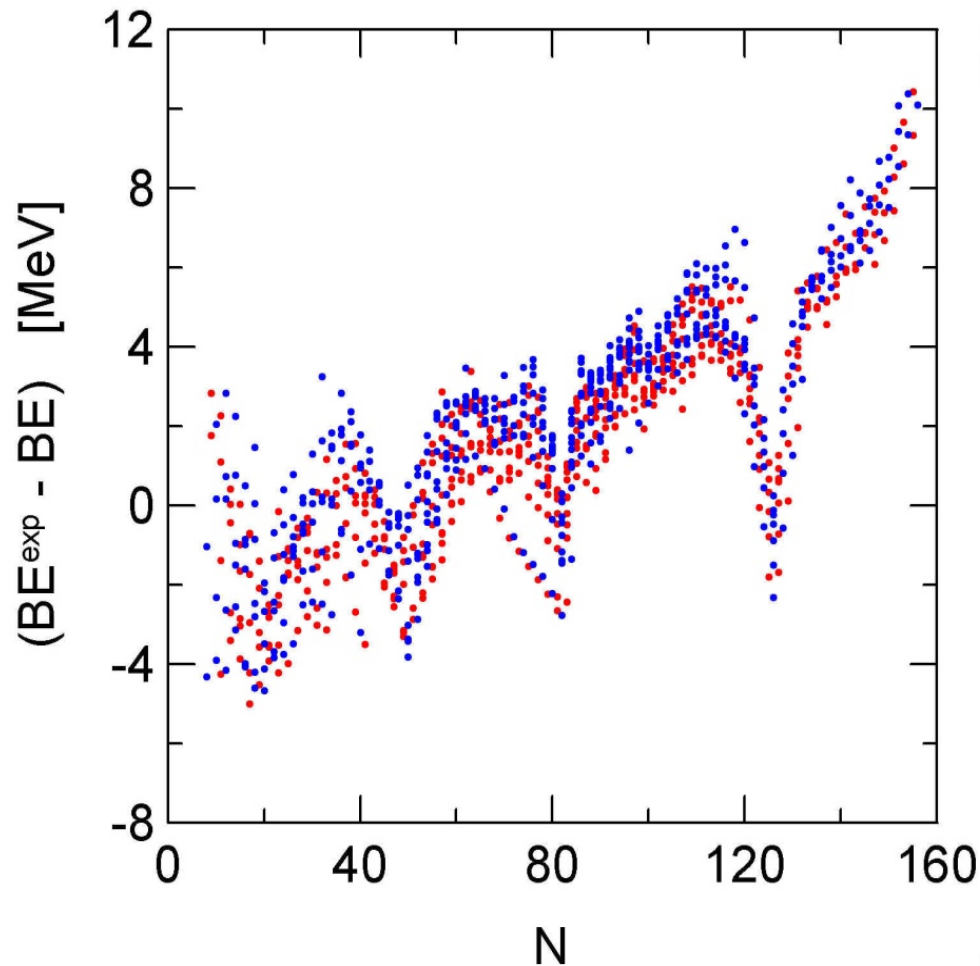


$\Lambda \sim 50 \text{ MeV}$

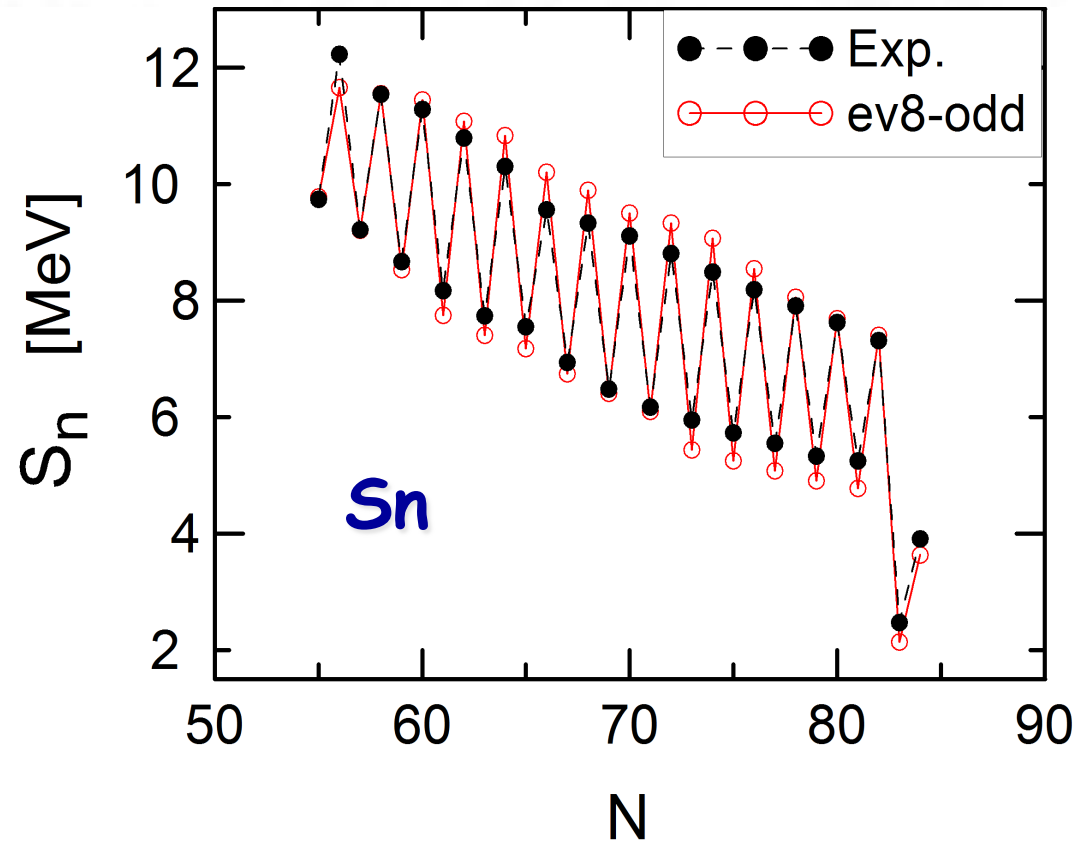


# Pairing Improves Nuclear Properties

- N-even, 521 nuclei, rms = 2.83 MeV
- N-odd, 498 nuclei, rms = 2.71 MeV

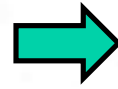


## Separation energies staggering



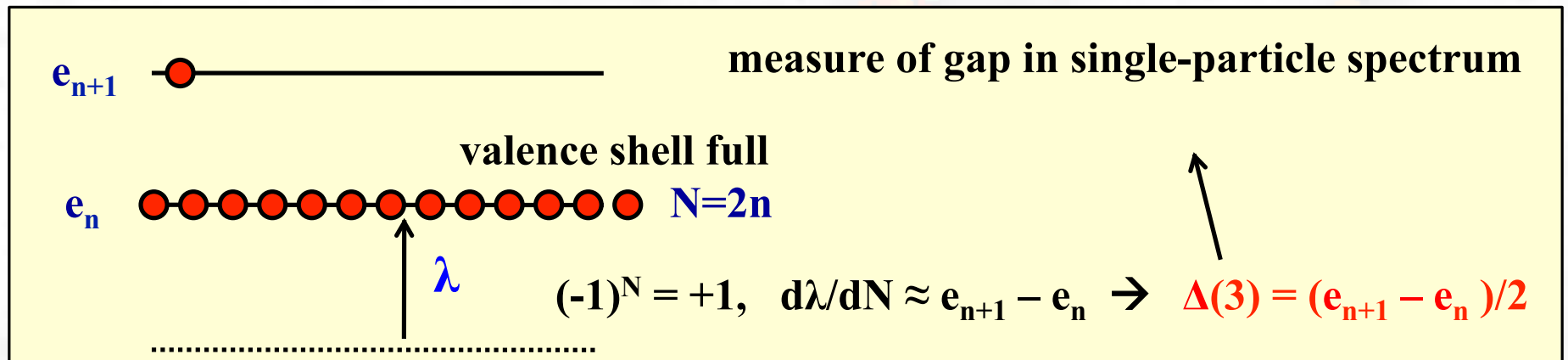
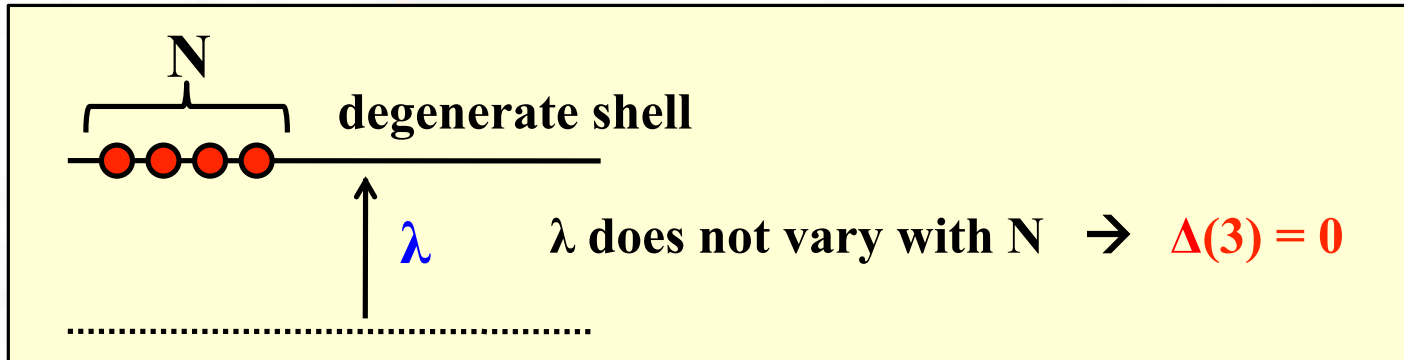
# Pairing vs Level Properties

$$\Delta^{(3)} = \frac{1}{2} (-1)^N [B(N-1) + B(N+1) - 2B(N)]$$

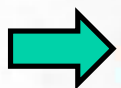


$$2(-1)^N \Delta^{(3)} \cong \frac{\partial^2 B}{\partial N^2} = \frac{\partial \lambda}{\partial N} = \frac{1}{g(\lambda)}$$

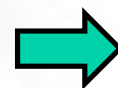
Fermi energy ( $\lambda = \partial B / \partial N$ )   s.p. level density ( $g(e) = dN / \partial e$ )



Jahn-Teller mechanism: **spherical symmetry spontaneously broken**



$(2j+1) \rightarrow$  double-degenerate orbits



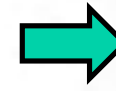
$\Delta^{(3)}$  alternates for  $(-1)^N = +$  and  $-$

# Pairing vs Level Properties

Macroscopic-microscopic model:

$$B = E_{sp} - \tilde{E}_{sp} + E_{macro}, \quad E_{sp} = \sum_{k=1}^A e_k$$

$$E_{macro} = \dots + a_I \frac{(N-Z)^2}{A}, \quad a_I = 23 \text{ MeV}$$



$$\Delta^{(3)} = \frac{23}{A} \text{ MeV}$$

$$\tilde{E}_{sp} \text{ contribution: } g(\lambda) = \frac{3a}{\pi^2}, \quad a \cong \frac{A}{8} \text{ MeV}$$

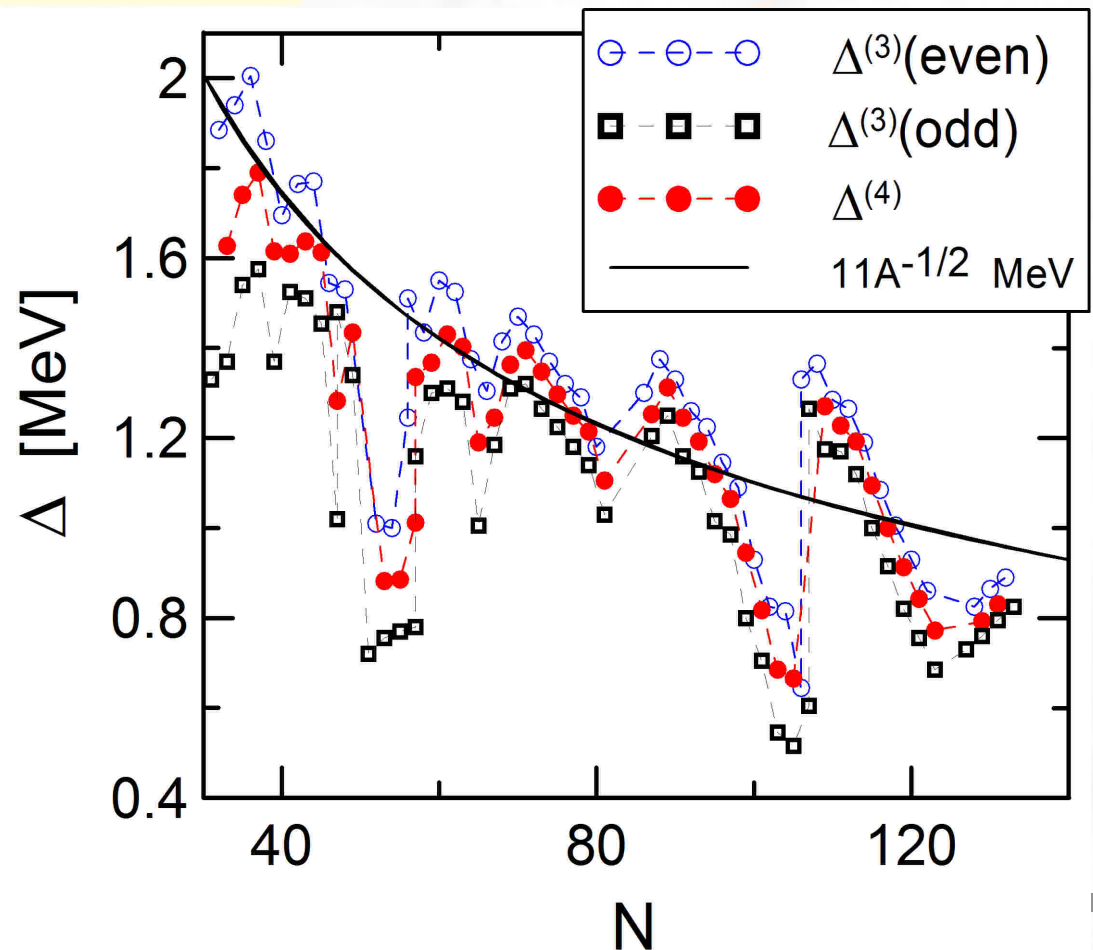


$$\Delta^{(3)} \cong -\frac{1}{g(\lambda)} \cong -\frac{25}{A} \text{ MeV}$$

$$\Delta^{(3)}(N) \cong -\frac{1}{2} \delta e$$

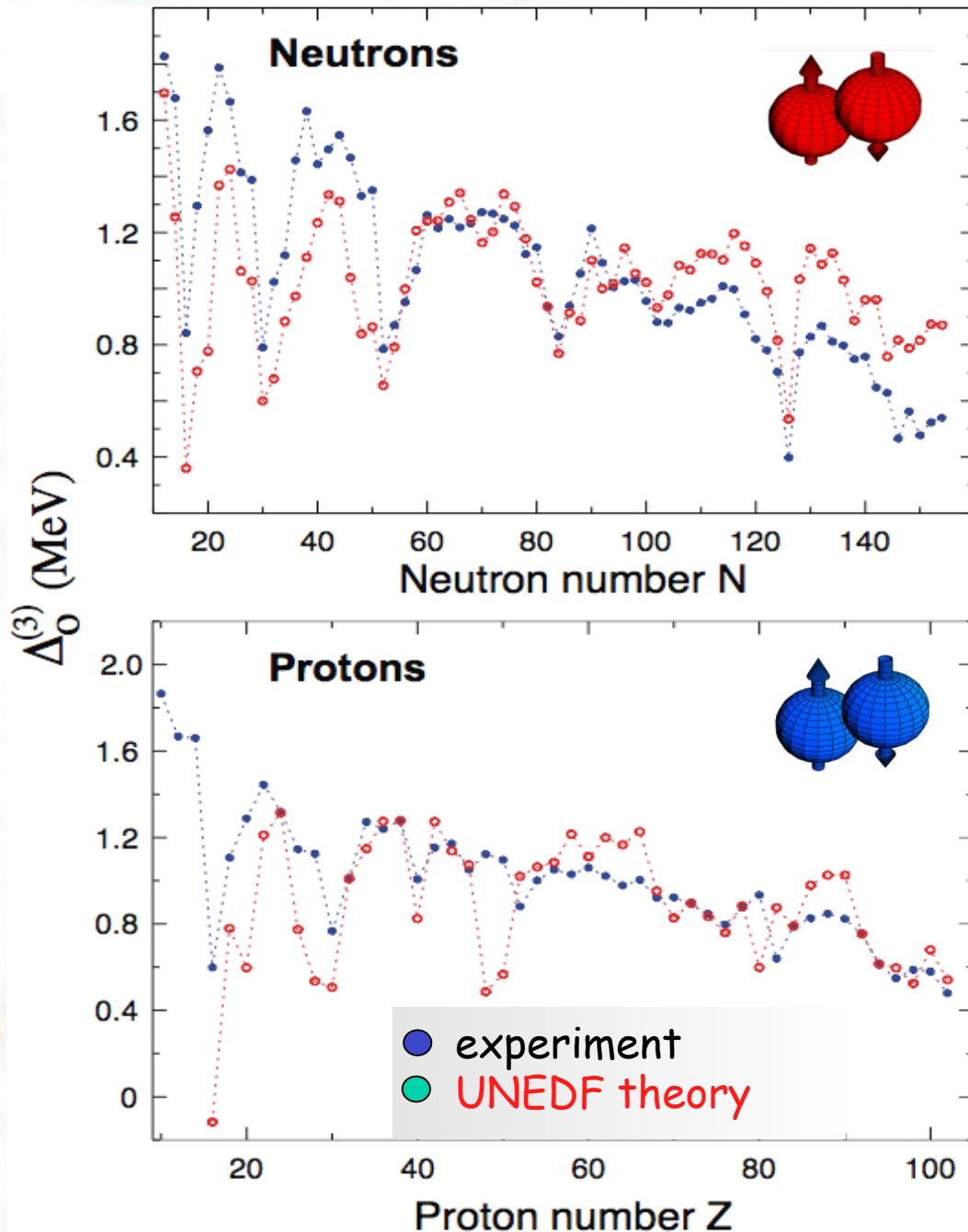
+ LDM corrections

$$2[\Delta^{(3)}(\text{even}) - \Delta^{(3)}(\text{odd})] \cong e_{n+1} - e_n$$

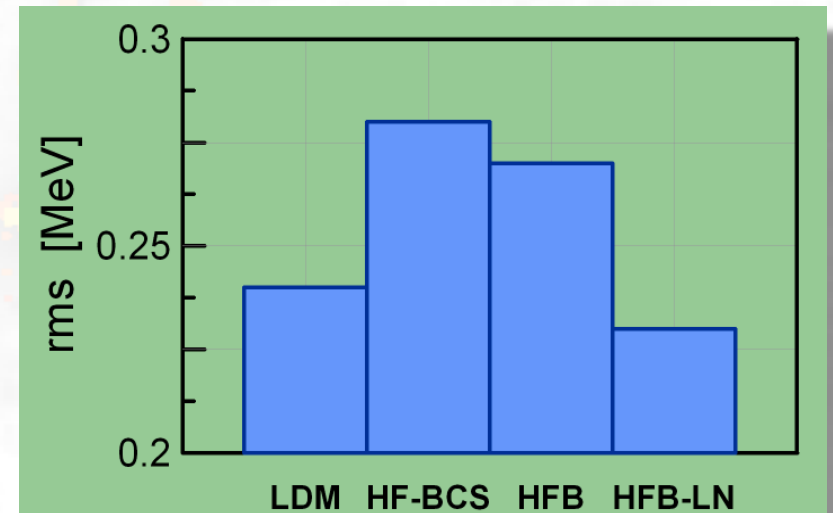


Satula, Dobaczewski, Nazarewicz,  
PRL 81, 3599 (1998).

# The uNclear Nuclear Pairing - UNEDF Collaboration



- Mass tables for 2,400 nuclei have been analyzed using different forms and methodologies for the pairing mechanism
- New functional forms for the pairing interaction have been proposed



$$\Delta^{(3)} = \frac{1}{2} (-1)^N [B(N-1) + B(N+1) - 2B(N)]$$

Bertsch, Bertulani, Nazarewicz, Schunck, Stoitsov, PRC 79, 0343306 (2009)

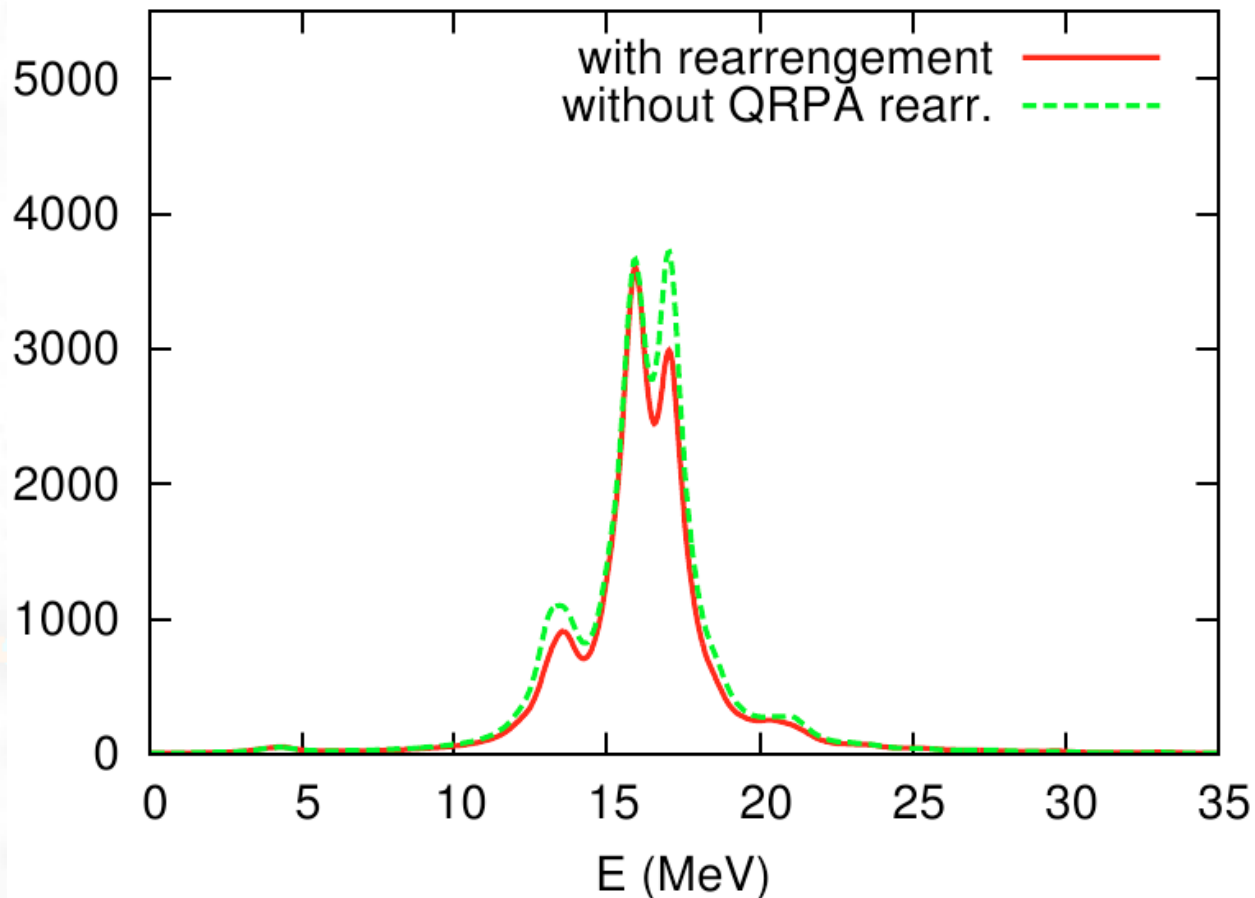
# QRPA: The Role of the Rearrangement Term

Avogadro, Bertulani, PRC 88, 044319 (2013)

- Fully self consistent EWSR = 99.2%
- Without Rearrangement in EWSR = 116%

$$h = \frac{\delta E_{\text{kin}}}{\delta \rho} + \frac{\delta E_{\text{skyrme}}}{\delta \rho} + \frac{\delta E_{\text{pair}}}{\delta \rho} + \frac{\delta E_{\text{Coul}}}{\delta \rho}$$

$^{112}\text{Sn}$ , SkM\* + surface



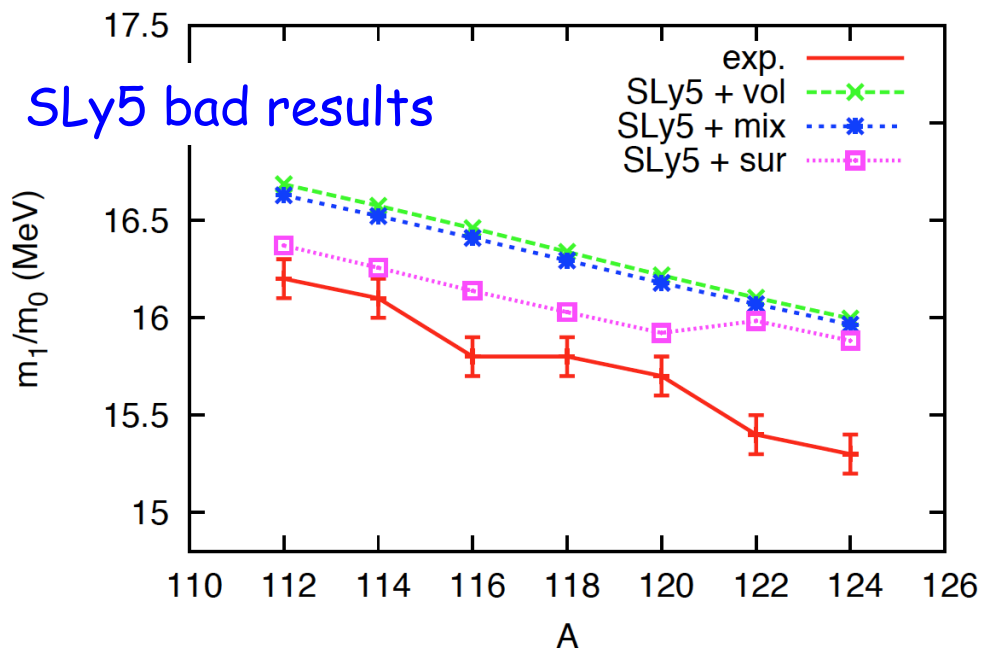
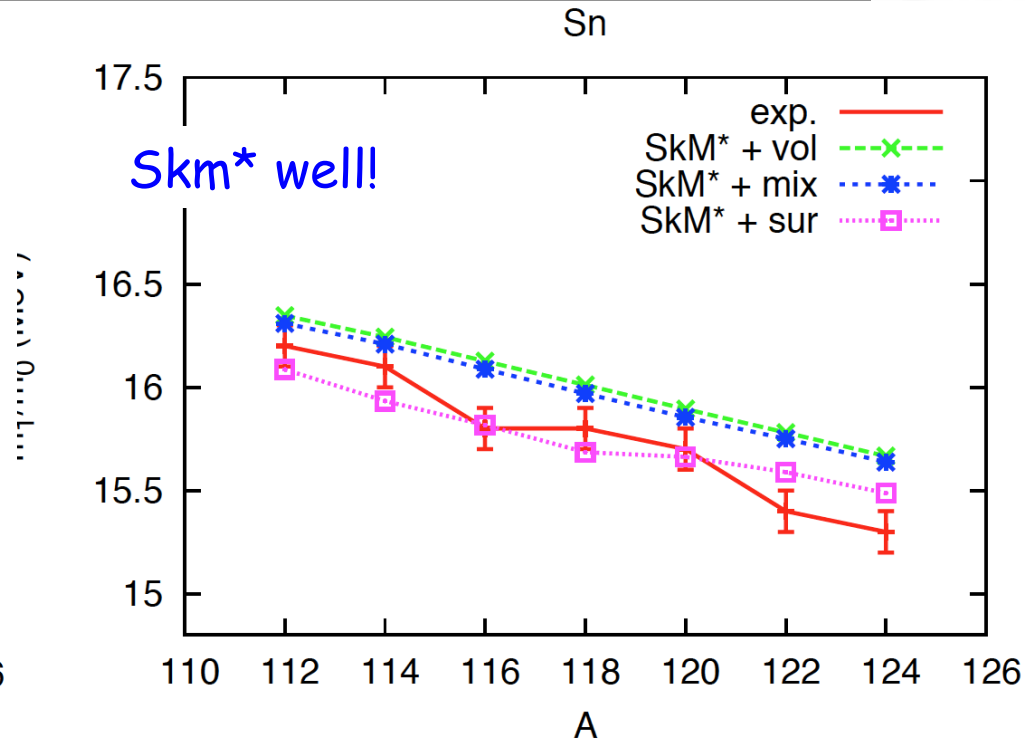
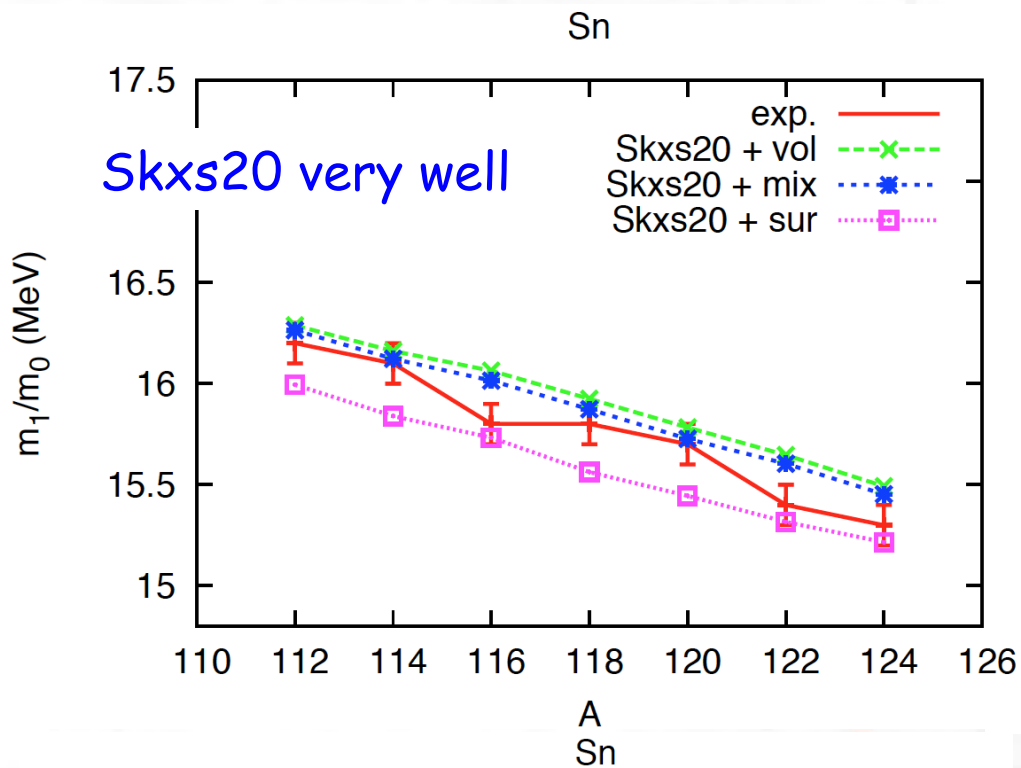
$$\frac{\delta h_{\text{rearr}}}{\delta \rho} = \frac{\delta}{\delta \rho} \left( \frac{\delta E_{\text{pair}}}{\delta \rho} \right)$$

$\neq 0$  if  $E_{\text{pair}}$  depends on density

Calculations without rearrangements tend to return higher centroids respect to the fully self-consistent case.



# Dependence on Functional



$$S(E) = \sum_j \left| \langle 0 | F_0 | j \rangle \right|^2 \delta(E - E_0)$$

$$F_0 = \sum_{i=1}^A r_i^2$$

$$m_k = \int_0^{\infty} E^k S(E) dE$$

# Isvector pairing

$$v(\mathbf{r}, \mathbf{r}') = v_0 \left[ 1 - \eta \left( \frac{\rho}{\rho_0} \right)^\gamma \right] \delta(\mathbf{r} - \mathbf{r}')$$

$$v_{\text{pair}}^{\text{MSH}}(\mathbf{r}, \mathbf{r}') = v_0 \left[ 1 - (1 - \delta) \eta_s \left( \frac{\rho}{\rho_0} \right)^{\alpha_s} - \delta \eta_n \left( \frac{\rho}{\rho_0} \right)^{\alpha_n} \right] \delta(\mathbf{r}, \mathbf{r}')$$

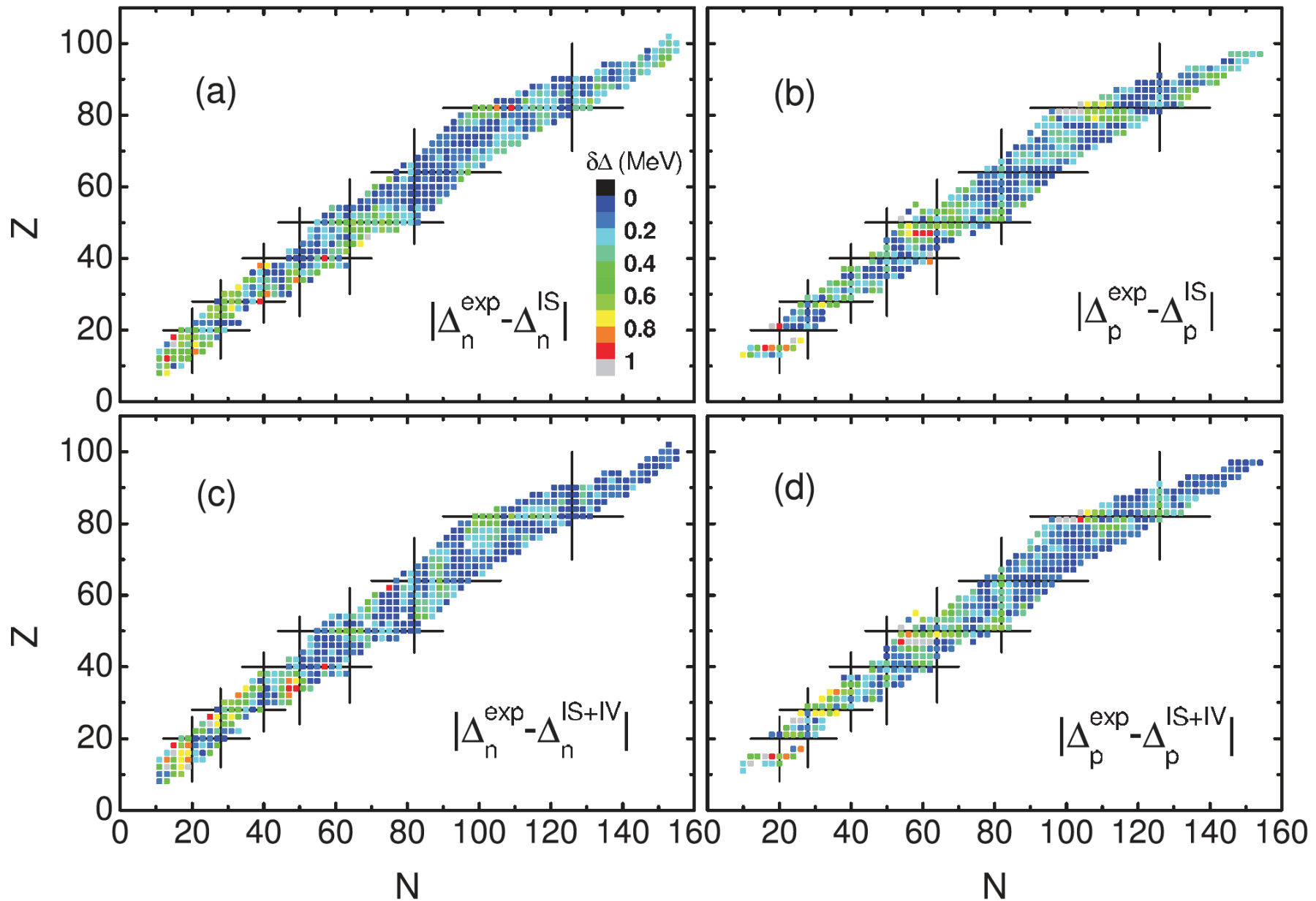
Margueron, Sagawa, Hagino, PRC 76, 064316 (2007)

$$v_{\text{pair}}^{\text{MSH}}(\mathbf{r}, \mathbf{r}') = v_0 \left[ 1 - \left( \eta + \eta_1 \tau_3 \delta \right) \frac{\rho}{\rho_0} - \eta_2 \left( \delta \frac{\rho}{\rho_0} \right)^2 \right] \delta(\mathbf{r}, \mathbf{r}')$$

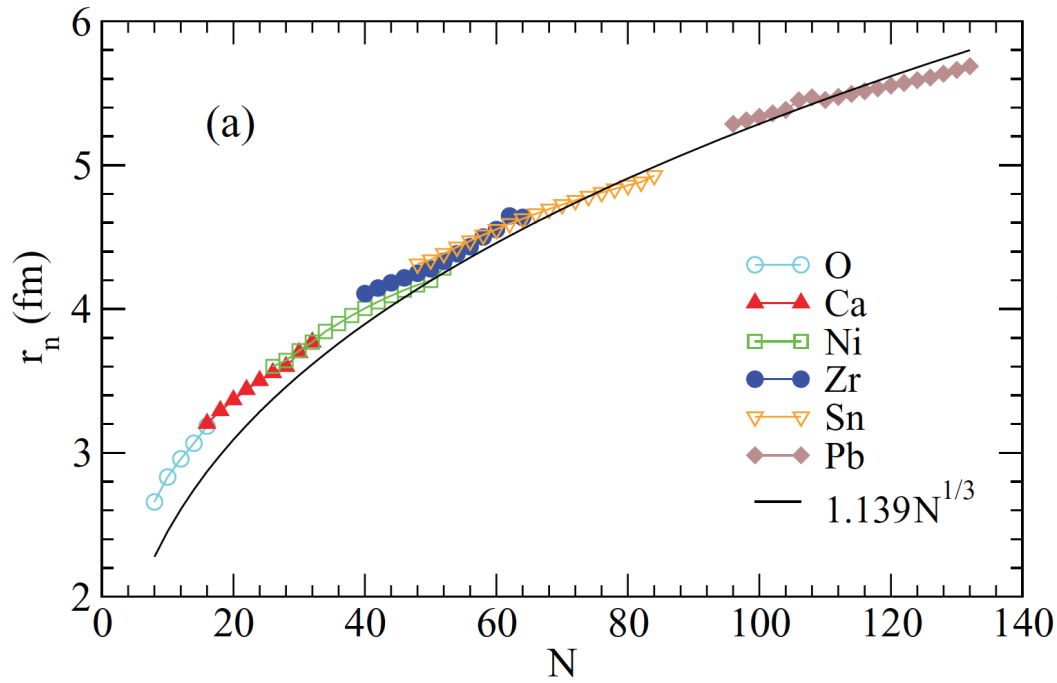
Yamagami, Shimizu, Nakatsukasa, PRC 80, 064301 (2009)

$$\begin{aligned} \rho &= \rho_n + \rho_p \\ \delta &= \frac{\rho_n - \rho_p}{\rho} \end{aligned}$$

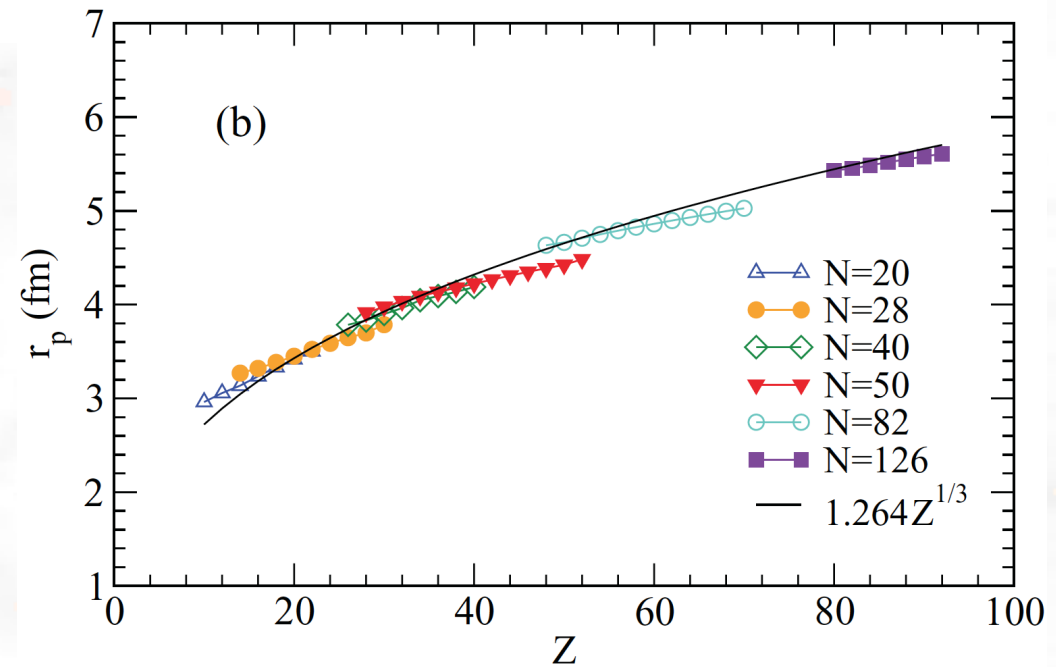
# Isvector pairing - Good global fits to pairing gaps



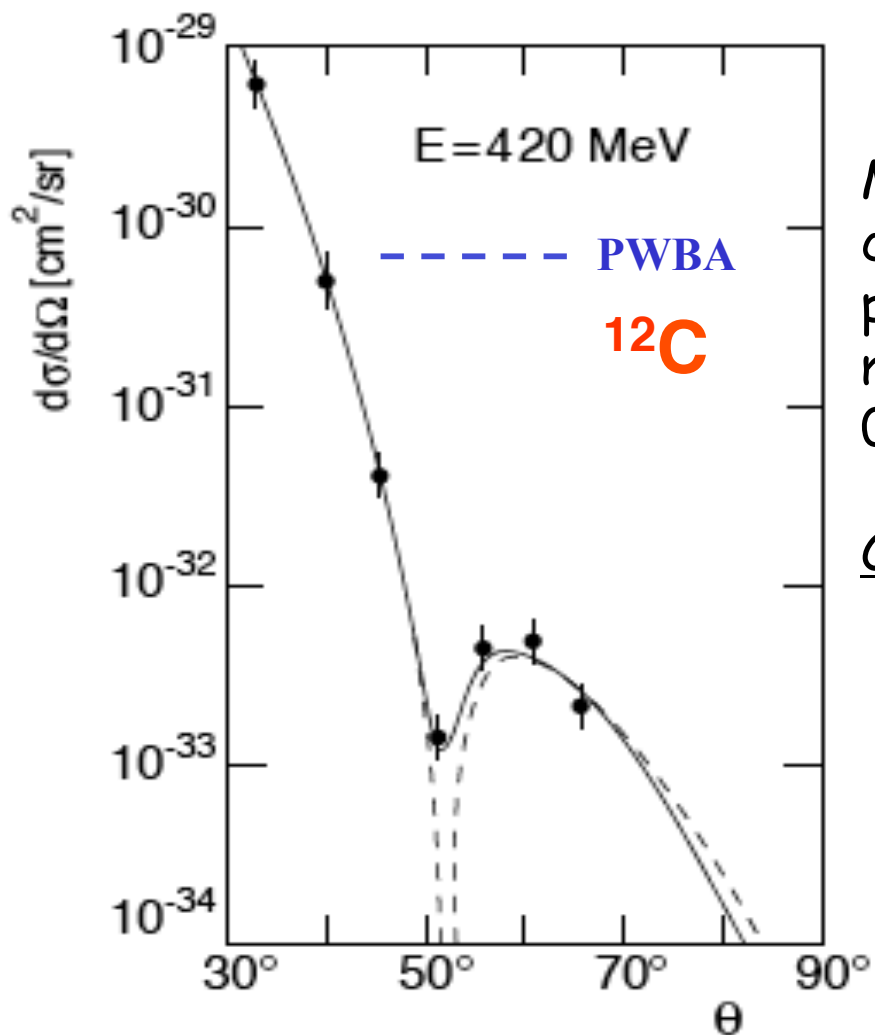
# Isvector pairing - Reasonable Nuclear Radii



MSH IS+IV pairing

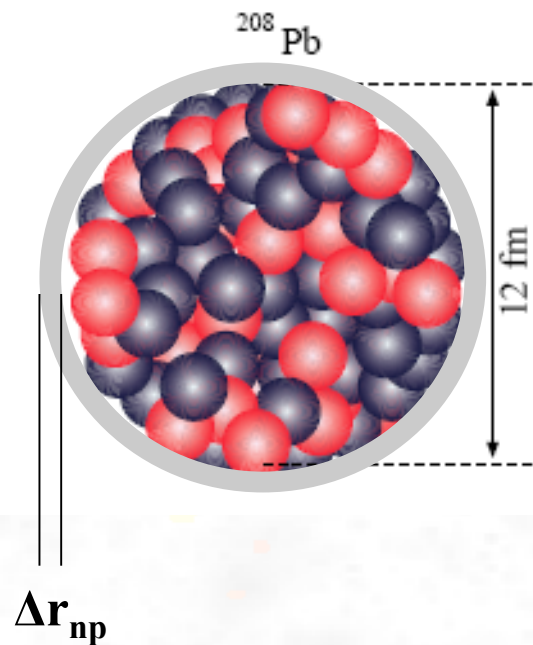


# Radii and Skins

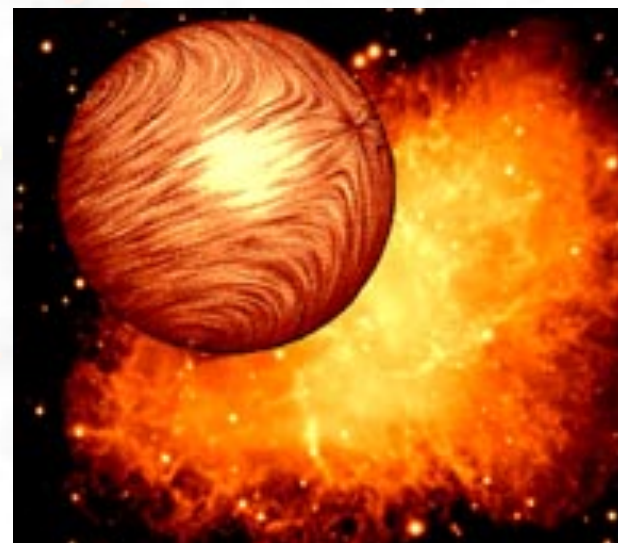


Measuring  $R_n$  in  $^{208}\text{Pb}$  constrains the pressure of neutron matter at  $\sim 2/3\rho_0 = 0.1 \text{ fm}^{-3}$ .

C. Horowitz



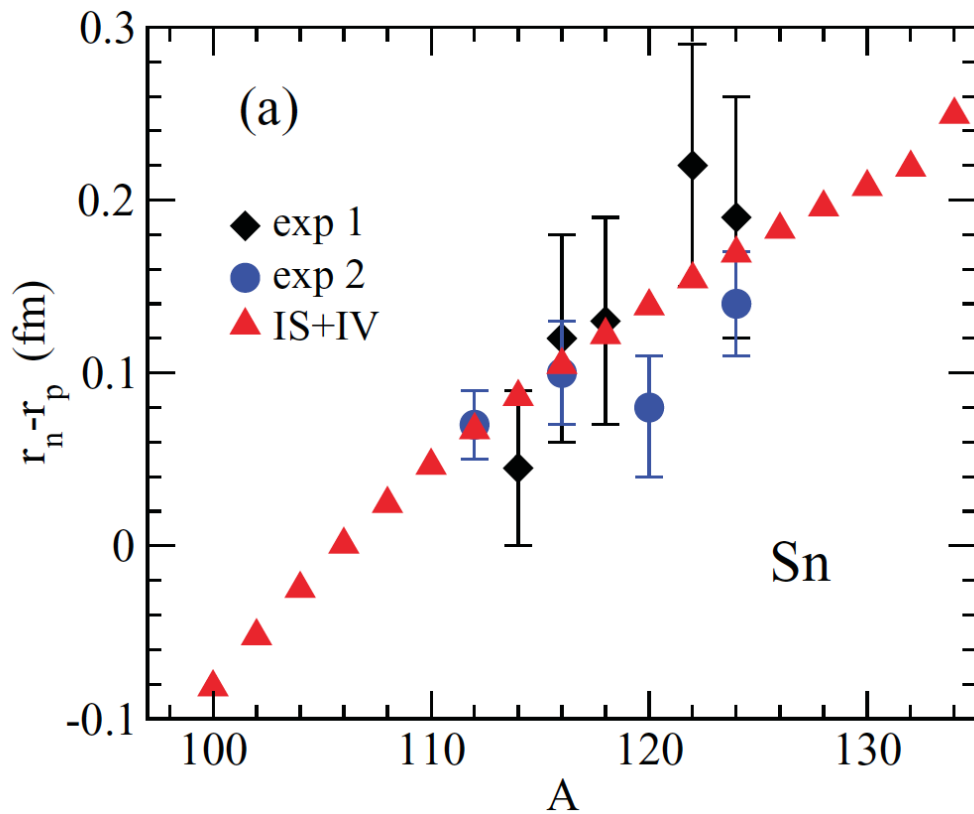
Neutron stars



$$\frac{d\sigma}{d\Omega} = \frac{\sigma_M(\theta)}{Z^2} \left| F_{\text{charge}}(q) \right|^2$$

Hofstadter, 1953

# Isvector pairing - Reasonable Nuclear Skins

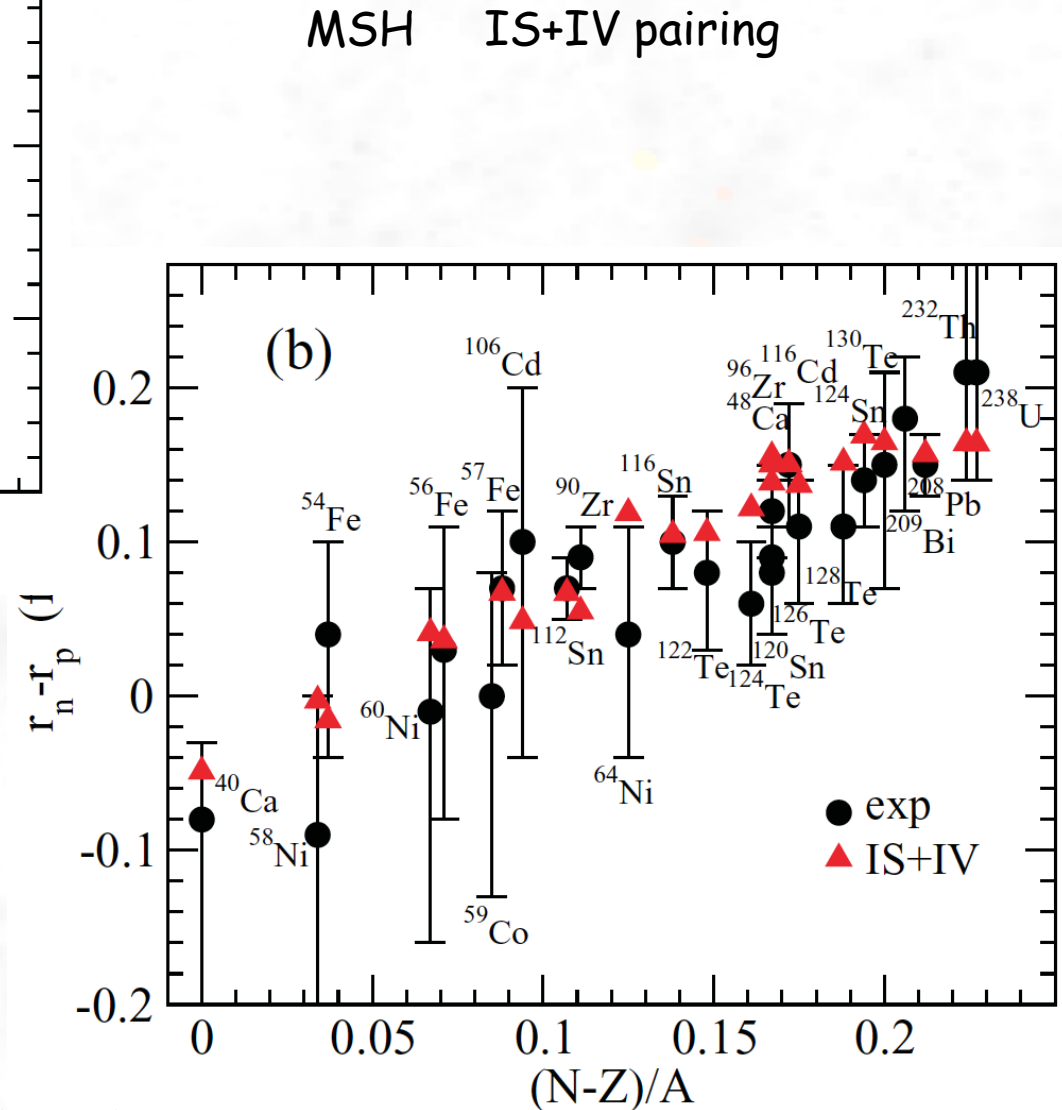


Radii from spin-dipole resonances  
 Krasznahorkay et al., PRL 82, 3216 (1999)

&

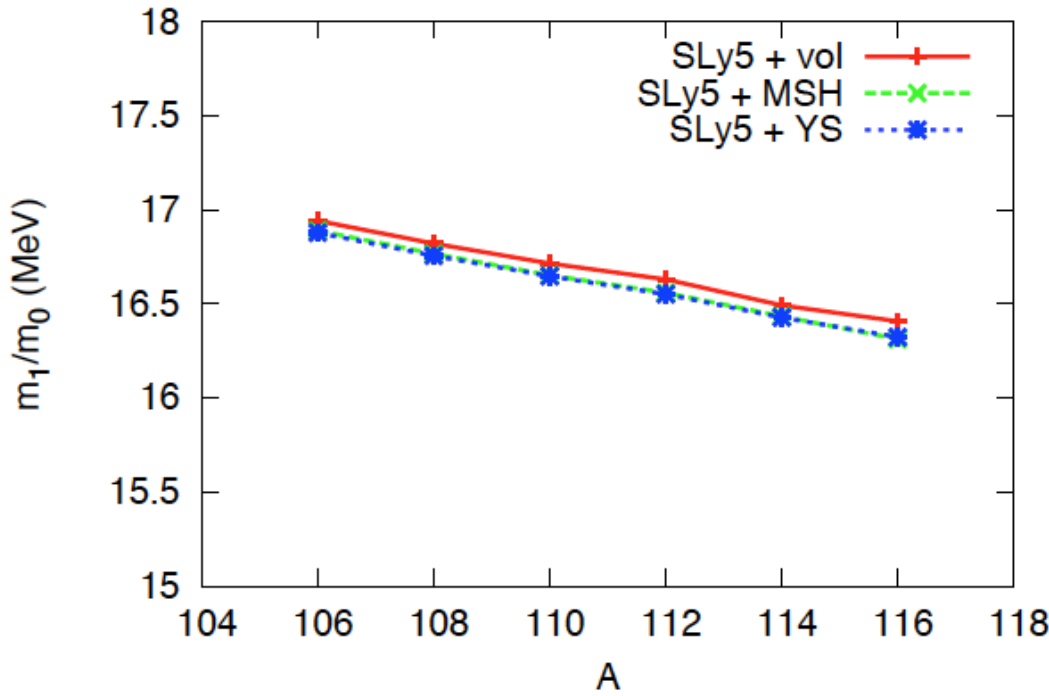
Antiprotonic atoms  
 Trzcinska et al., PRL 87, 082501 (2001)

Bertulani, Liu, Sagawa, PRC 85, 014321 (2012)

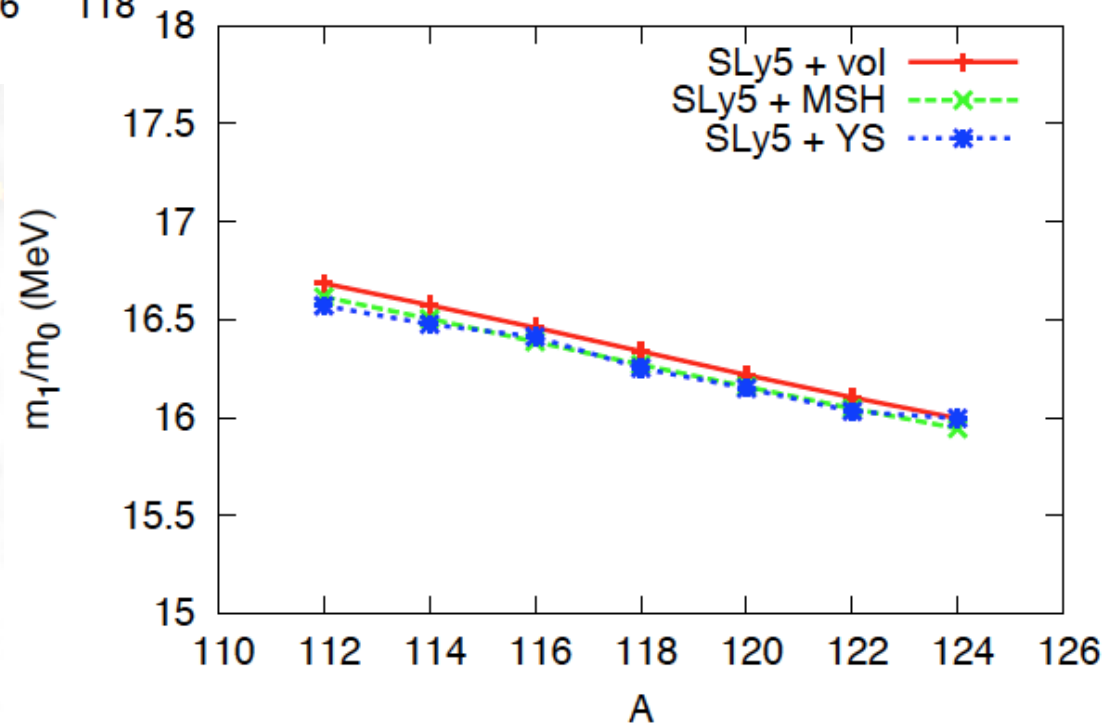


# Isvector pairing - Improves Centroids of ISGMR

Cd



Sn



# Isvector pairing - ISGMR - Comparison to Recent Data

	nucleus	ph	pp	diff.
TAMU/ RCNP	$^{204-206-208}\text{Pb}$	SLy5	all	< 0.1
TAMU/ RCNP	$^{144}\text{Sm}$	SkM*	<i>volume</i>	- 0.1
TAMU/ RCNP	$^{90}\text{Zr}$	SLy5	all	+ 0.2
TAMU	$^{92}\text{Zr}$	SLy5	<i>volume</i>	- 0.4
	$^{94}\text{Zr}$	Skxs20	<i>surface</i>	+ 0.8
TAMU	$^{92}\text{Mo}$	SLy5	<i>volume</i>	- 1.6
	$^{94}\text{Mo}$	Skxs20	<i>surface</i>	+ 0.0
RCNP	$^{112-114-118-120}\text{Sn}$ [4]	Skxs20	<i>mixed</i>	< 0.1
	$^{122-124}\text{Sn}$ [4]	Skxs20	<i>surface</i>	< 0.1
	$^{116}\text{Sn}$ [4]	SkM*	<i>surface</i>	< 0.1
TAMU	$^{112-124}\text{Sn}$ [35]	Skxs20	<i>surface</i>	$\approx$ 0.8
	$^{116}\text{Sn}$ [35]	Skxs20	<i>surface</i>	+ 0.2
RCNP	$^{106-110-112-114-116}\text{Cd}$ [6]	Skxs20	<i>surface</i>	< 0.1
TAMU	$^{110-116}\text{Cd}$ [46]	Skxs20	<i>surface</i>	$\approx$ 0.9

A=92 very stiff!



# Conclusions

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## EOS & Pairing Conundrum

- Nuclear pairing evidently improves masses, separation energies and staggering effects in microscopic approaches: HF + BCS or HFB.
- Inclusion of pairing complicates determination of best Skyrme models. E.g., 20% of the nuclei well explained with the SLy5 interaction, and 10% with the SkM\* interaction.
- Isovector pairing improves nuclear properties ← at the cost of additional parameters
- Detailed studies using HFB + QRPA + isovector pairing with comparison with newest data on ISGMR (RCNP and TAMU)  
→  
ISGMR is better reproduced with the soft interaction Skxs20 ( $K_{\infty} \approx 202$  MeV), in contrast with the generally accepted value for  $K_{\infty} \approx 230$  MeV.